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Living with strangers: direct benefits favour non-kin cooperation in a communally nesting bird

Christina Riehl1,2,*

1Department of Ecology and Evolutionary Biology, Princeton University, Princeton, NJ 08544, USA
2Max Planck Institute for Ornithology, Department of Migration and Immuno-Ecology, Schlossallee 2, 78315 Radolfzell, Germany

The greater ani (Crotophaga major), a Neotropical cuckoo, exhibits an unusual breeding system in which several socially monogamous pairs lay eggs in a single nest and contribute care to the communal clutch. Cooperative nesting is costly—females compete for reproduction by ejecting each other’s eggs—but the potential direct or indirect fitness benefits that might accrue to group members have not been identified. In this study, I used molecular genotyping to quantify patterns of genetic relatedness and individual reproductive success within social groups in a single colour-banded population. Microsatellite analysis of 122 individuals in 49 groups revealed that group members are not genetic relatives. Group size was strongly correlated with individual reproductive success: solitary pairs were extremely rare and never successful, and nests attended by two pairs were significantly more likely to be depredated than were nests attended by three pairs. Egg loss, a consequence of reproductive competition, was greater in large groups and disproportionately affected females that initiated laying. However, early-laying females compensated for egg losses by laying larger clutches, and female group members switched positions in the laying order across nesting attempts. The greater ani, therefore, appears to be one of the few species in which cooperative breeding among unrelated individuals is favoured by direct, shared benefits that outweigh the substantial costs of reproductive competition.

Keywords: Barro Colorado Island; Crotophaginae; joint nesting; cooperation; microsatellite; nest predation

1. INTRODUCTION

In many cooperatively breeding animals, social groups form when offspring from one generation remain on their natal territory to help rear future generations of relatives [1,2]. This type of cooperation is favoured by kin selection, the process by which cooperating individuals gain indirect fitness benefits by helping to raise non-descendent kin [3]. However, indirect fitness alone may not be sufficient to maintain cooperative breeding, and recent research has increasingly emphasized the possibility that members of social groups may also gain substantial direct fitness benefits by cooperating with both related and unrelated individuals [4,5].

As a growing number of studies have confirmed the importance of direct benefits to cooperative breeders, it has become clear that high levels of within-group relatedness are not always necessary for the evolutionary stability of these societies. Recent molecular analyses have revealed that, in many classic examples of cooperative societies, genetic relatedness among group members is considerably lower than originally estimated (e.g. chimpanzees (Pan troglodytes), [6] mole-rats (Cryptomys damarensis), [7] and honeybees (Apis mellifera), [8]). In addition, molecular analyses of several species of tropical birds show that cooperative alliances among unrelated breeders are far more common than previously recognized ([9–11]; reviewed in [12]). Several recent reviews have proposed that these alliances may in fact represent intraspecific mutualisms, in which one or more cooperators suffer temporary fitness costs in order for all to gain net benefits [13–15]. However, few field studies have quantified the individual-level costs, direct benefits and indirect benefits that accrue to members of social groups, and there is little empirical evidence to suggest that common interest alone can maintain cooperation in the absence of kin selection.

In this paper, I consider the selective pressures that favour costly, highly developed cooperation in a social bird, the greater ani (Crotophaga major). This Neotropical cuckoo breeds in groups composed of up to four socially monogamous pairs; males and females are monomorphic. Groups form as coalitions of adult pairs rather than as family groups, so it has previously been assumed that group members are not related to one another [16]. Each group builds a communal nest in which all of the females lay their eggs. Although the division of labour is frequently unequal, all group members participate in territorial defence, incubation and food delivery to nestlings in the shared clutch. Adults are not capable of recognizing their own eggs or nestlings, so they cannot preferentially provide care to their own offspring within the clutch ([17,18]; C. Riehl 2007–2009, unpublished data). Social groups are highly stable during the breeding season, and group members participate in communal...
2. MATERIAL AND METHODS

(a) Study area and nest monitoring

The greater ani ranges from Panama to northern Argentina [20]. Nests are built exclusively in riparian vegetation on the edges of ponds, lakes and rivers with partly inundated banks, and are typically placed less than or equal to 2 m above the water's surface. I studied a colour-banded study population in central Panama. I examined reproductive fitness in relation to the size of the breeding group, the location of the nest site, and the female's position in the laying order of the communal clutch. In addition, I examined whether individual females switch positions in the laying sequence of the group across nesting attempts, and whether breeding adults remain in the same group across nesting seasons. If breeding groups are stable across years and female group members consistently lay in the same order, then the costs and benefits of social nesting should vary significantly among individuals. Alternatively, if laying order and/or group composition change across nesting attempts, then these should equalize over time.

Genetic determination of egg and nesting maternity

In order to determine which female laid each egg in the communal clutch, maternal DNA was isolated from blood stains and shed cells on the external surface of the freshly laid egg [23]. Samples were then genotyped with a set of 12 highly variable microsatellite markers developed for the greater ani [24]. The accuracy of this method has been extensively cross-checked and validated in this study population [17]. After genotyping, egg maternity was assigned to females in communal clutches with the 'identity check' function in CERVUS v. 3.0, a maximum-likelihood-based programme for parentage analyses that can also be used to identify repeat samples from the same individual [25,26]. In each nesting group, females were numbered according to the order in which they entered the laying sequence of the communal clutch. Two-pair groups, for example, contained one female that initiated laying (female 'A') and one female that began laying after the clutch had already been initiated (female 'B'). Three-pair groups contained a first-laying A and second-laying B female, and a third 'C' female that was the last to enter the laying sequence. Therefore, this method allowed determination of the number of eggs that each female laid, the number that each female lost to ejection and the effect of her position in the laying sequence on her overall reproductive output.
(c) Sample sizes and statistical analyses

The study included 35 different two-pair groups and 22 different three-pair groups for which I had complete information on egg maternity, laying order, egg fate and nestling fate. Single pairs were observed nesting alone only twice in four years, so these data were excluded from the analyses. Of the 35 two-pair groups, I obtained 1 year of data for 26 groups, two years of data for seven groups, and three years of data for two groups (n = 46 group-years). Of the 22 three-pair groups, I obtained one year of data for 20 groups and two years of data for two groups (n = 24 group-years). Microsatellite genotypes were isolated from 584 eggs and assigned to 142 females (n = 164 female-years). Nest survival probabilities were calculated using an additional 38 nests for which I had complete information on group size and nest fate, but not on egg maternity and/or laying order. The Mayfield [27] method with the modifications recommended by Johnson [28] was used to compute nest survival probabilities since some nests (n = 12) were located after laying had begun.

All adult group members were trapped, colour banded and genetically sampled in 36 group-years; some but not all group members were captured at an additional 13 nests (n = 122 adults genotyped). Pairwise genetic relatedness of adults within breeding groups was calculated with the programme KINGROUP ([29], derived from Queller & Goodnight [30]) and standard errors for pairwise estimations were obtained by jackknifing over loci [30]. In order to ensure that the set of loci was sufficiently robust to estimate relatedness, I used an online tool to perform a rarefaction analysis in which relatedness values were calculated for each successive inclusion of loci beginning with one locus (http://people.musc.edu/~schwclh/). Calculated relatedness values did not change significantly after the inclusion of the eighth locus, indicating that the 12 loci used here were sufficiently polymorphic for relatedness calculations. Calculated relatedness values for known mother–offspring dyads were in accordance with expected values (n = 21 dyads, r = 0.48 ± 0.003 s.e.).

Average relatedness values were calculated for four types of relationships among group members (mated pairs, male group members, female group members and opposite-sex group members excluding mated pairs). Relatedness values for the entire group were calculated by averaging over all dyads. I then used permutation tests to determine whether group members were significantly more related to each other than to randomly chosen individuals in the rest of the population. Permutation tests were performed in IDENTIX 1.1, a computer program that uses a Monte-Carlo resampling procedure to generate a null distribution of genotypes based on population-wide allele frequencies. Observed values of pairwise relatedness were compared with the mean of the null distribution generated by 1000 permutations. Average pairwise relatedness across the entire population is, by definition, set at zero. These analyses were performed at the level of group-years; therefore, not all data points were independent since some individuals remained in the same group across years and were sampled multiple times. However, this approach is unlikely to have biased the results since the sample sizes are relatively large (122 different adults) and the majority of groups experienced substantial turnover in membership over the 4 year study period (see §3).

I constructed mixed models in SAS to identify factors predicting individual reproductive success and to examine the effects of group size on different measures of reproductive fitness (SAS Institute, Cary, NC, USA). Group identity and/or individual female identity (nested in group identity) were included as random effects in all models in order to control for repeated observations of the same group or individual across years. Response variables with continuous normal distributions were assessed with linear mixed-effects models using a restricted/residual maximum-likelihood approach (PROC MIXED). Response variables with binary distributions (nest survival) were assessed with generalized linear mixed models using a restricted pseudo-likelihood approach, a binomial error structure, and a logit link function (PROC GLIMMIX). Initial models included all variables and their two-way interactions; final (minimum adequate) models were chosen by stepwise removal of non-significant (p > 0.05) terms. For datasets in which the individual identity was not a significant term, post hoc tests were done on the pooled data (not accounting for repeated measures).

In the first set of models, I examined the effects of group size (two-pair or three-pair) and nest site (shore or isolated) on the probability of nest success, and on the number of nestlings fledged per group member. The main purpose of these analyses was to determine whether the group size influenced the per capita reproductive output of group members. To investigate differences in reproductive fitness among individuals within groups, I constructed a second set of models examining the effects of group size and the female's position in the laying order on six measures of annual reproductive output (number of eggs laid, lost to ejection, incubated, hatched and fledged; and the number of nestlings fledged per egg laid). Group size was coded as a two-level factor ('two-pair' or 'three-pair') and female position in the laying order was coded as a three-level factor (first-laying, B or last-laying, C). The purpose of these analyses was to determine whether the costs of communal nesting (such as egg ejection, low hatching rates and post-hatching mortality) are equally distributed among all females in a group, and whether increased reproductive investment could compensate for these costs.

3. RESULTS

(a) Group members are not genetic relatives

Dispersal patterns of colour-banded nestlings indicated that breeding groups are composed of unrelated adults. Of 341 nestlings, colour banded in 58 breeding groups, only four (all males) remained with their natal group the following year. Of those four, none was paired to a social mate at 1 year of age, and only one remained as a paired breeder with his natal group at 2 years of age. In one instance, a pair of brothers dispersed together and joined the same breeding group as adults, and in two instances male nestlings dispersed from the natal group and later joined a breeding group that contained second- or third-order male kin (uncles or cousins). Sixteen male nestlings eventually joined breeding groups in the study area that contained no known relatives, and the remaining 137 male nestlings either died or dispersed outside the study area. Colour-banded female nestlings were never observed to stay with their natal groups. Five joined groups within the study area, none of which contained known relatives. The remaining 175 female nestlings either died or dispersed outside the study area.
Table 1. Average pairwise genetic relatedness of adult greater anis within breeding groups, calculated from the complete genotypes of 122 adults at 12 microsatellite loci. Relatedness values are given as the coefficient of relatedness \((r) \pm \text{s.e.}\). Sample size \((n)\) refers to the number of dyads sampled. \(p\)-values are given for permutation tests testing whether mean pairwise relatedness values for each category are significantly higher than for randomly selected dyads from the study population.

<table>
<thead>
<tr>
<th></th>
<th>(n)</th>
<th>(r (\pm \text{s.e.}))</th>
<th>range</th>
<th>(p)</th>
</tr>
</thead>
<tbody>
<tr>
<td>mated pairs</td>
<td>64</td>
<td>(-0.010 (\pm 0.006))</td>
<td>(-0.021) to (0.003)</td>
<td>0.44</td>
</tr>
<tr>
<td>male group members</td>
<td>49</td>
<td>(0.012 (\pm 0.241))</td>
<td>(-0.125) to (0.472)</td>
<td>0.17</td>
</tr>
<tr>
<td>female group members</td>
<td>44</td>
<td>(-0.003 (\pm 0.090))</td>
<td>(-0.032) to (0.271)</td>
<td>0.62</td>
</tr>
<tr>
<td>opposite-sex group members (excluding mated pairs)</td>
<td>90</td>
<td>(0.024 (\pm 0.081))</td>
<td>(-0.122) to (0.117)</td>
<td>0.17</td>
</tr>
</tbody>
</table>

Figure 1. Percentage \((\pm 95\% \text{ CI})\) of successful nests (black bar, left axis) and average individual reproductive success \((\text{mean} \pm \text{s.e.})\) range with respect to group size of communally breeding greater anis.

Pairwise genetic relatedness among adult group members, calculated from microsatellite genotypes, supported the hypothesis that group members are not significantly more related to one another than to randomly selected individuals in the study population (table 1). As expected from the observed dispersal patterns of colour-banded nestlings, male group members shared higher average coefficients of relatedness than did female group members \((r = 0.012\) versus \(-0.003\), respectively), and the maximum observed relatedness between male group members was also greater \((r = 0.47\), indicating a first-order kin relationship; table 1). However, this difference was driven by a very few pairs of close relatives, and average pairwise relatedness of male group members was not significantly higher than the population-level average. Similarly, in three of 44 dyads, relatedness values of female group members indicated second-order kin relationships \((r \approx 0.25)\), suggesting that female relatives also breed together occasionally. Overall, within-group relatedness values (calculated by averaging the relatedness values of all dyads within the breeding group) were not significantly higher than expected by chance \((n = 36\) completely sampled group-years, \(r = 0.006 \pm 0.024\) s.e., \(p > 0.3\)).

(b) Nest predation risk decreases with group size

Single pairs were observed to nest alone only twice, and both attempts were depredated before clutch completion. Nests of three-pair groups were significantly less likely to be depredated than were nests of two-pair groups \((x^2 = 10.01, p < 0.002;\) figure 1), and the number of fledglings produced per group member was significantly higher than expected by chance \((n = 36\) completely sampled group-years, \(r = 0.006 \pm 0.024\) s.e., \(p > 0.3\)).

A mixed model analysis (including group size, nest-site type, group ID, individual ID and all two-way interactions as explanatory variables) revealed that the probability of nest success was significantly influenced by group size, nest-site type and their interaction (table 2). Post hoc tests, which did not control for repeated measures, indicated that nests built in emergent vegetation were more likely to be successful than were nests in shoreline vegetation \((x^2 = 7.84, p = 0.005)\), and three-pair groups were more likely to occupy these sites than were two-pair groups \((x^2 = 6.98, p = 0.008;\) figure 2). Group size influenced nest depredation rates even when controlling for nest-site type: three-pair groups nesting in high-risk shoreline

![Figure 1](image1.png)

![Figure 2](image2.png)

Table 2. Final linear mixed models testing the effects of group size (two-pair versus three-pair) and nest-site type (shore versus emergent) on the probability of nest success and on the \(\text{per capita}\) number of young fledged. Other model terms and interactions were not significant (see §2 for details of full model).

<table>
<thead>
<tr>
<th>variable</th>
<th>d.f.</th>
<th>(\chi^2)</th>
<th>(p)</th>
<th>number of fledglings ((\text{per capita}))</th>
</tr>
</thead>
<tbody>
<tr>
<td>group size</td>
<td>1</td>
<td>5.12</td>
<td>0.024</td>
<td>1.67</td>
</tr>
<tr>
<td>nest site</td>
<td>1</td>
<td>7.84</td>
<td>0.005</td>
<td>1.67</td>
</tr>
<tr>
<td>group size (\times) nest site</td>
<td>1</td>
<td>4.62</td>
<td>0.032</td>
<td>1.67</td>
</tr>
</tbody>
</table>
sites were still more likely to fledge young than were two-pair groups in shoreline sites ($\chi^2_t = 5.84, p = 0.016$).

(c) Group size and position in the laying order determine reproductive success

Female reproductive success was influenced by the size of the breeding group and by the female’s position in the laying order of the communal clutch (figure 3). In both two-pair and three-pair groups, first-laying females laid more eggs than did females that subsequently entered the laying sequence (figure 3a; two-pair groups: $F_{1,74} = 58.1, p < 0.001$; three-pair groups: $F_{1,40} = 5.7, p = 0.02$), but they also lost more eggs to ejection (figure 3b; two-pair groups: $F_{1,74} = 128.5, p < 0.001$; three-pair groups: $F_{1,40} = 19.1, p < 0.001$). These effects were most extreme for early-laying females in large groups: since egg ejection did not cease until all group members had begun to lay, both group size and position in the laying order influenced ejection probability (figure 3b; female position × group size interaction, likelihood ratio $\chi^2 = 4.21, p = 0.04$). However, position in the laying order did not affect the final number of eggs that each female contributed to the incubated clutch (figure 3c; $F_{2,161} = 1.44, p = 0.24$). In the incubated clutch, therefore, reproductive skew among group members was very low in both two-pair groups ($s = 0.031$) and three-pair groups ($s = 0.062$).

Regardless of position in the laying order, females in three-pair groups hatched and fledged more offspring than did their counterparts in two-pair groups (figure 3d,e; hatched: $t_{41} = -2.23, p = 0.03$; fledged: $t_{57} = -2.08, p = 0.04$). This difference was entirely attributable to higher predation at two-pair nests: when the comparison was restricted to successful nests only, eggs in three-pair nests had lower hatching probabilities ($\chi^2_t = 4.47, p = 0.03$) and post-hatching survivorship ($\chi^2_t = 5.11, p = 0.02$). Predation, not ejection, was the most important cause of egg loss for first-laying females in two-pair groups (eggs lost to ejection: $x = 1.45 \pm 0.12$; eggs lost to predation: $x = 2.5 \pm 0.28$; matched-pairs: $t_{57} = -3.27, p = 0.002$). As a result, females in two-pair groups did not significantly differ in the number of offspring fledged per egg laid (figure 3f, $F_{1,74} = 1.15, p = 0.29$). By contrast, first-laying females in three-pair groups lost more eggs to ejection ($x = 2.2 \pm 0.76$) than to predation ($x = 1.0 \pm 1.44$; matched-pairs: $t_{50} = 3.59, p = 0.002$). For females in three-pair groups, therefore, position in the laying order was a significant predictor of the number of offspring fledged per egg laid ($F_{2,19} = 4.77, p = 0.014$; figure 3f).

(d) Laying order and group membership change over time

Individual females did not consistently occupy the same position in the laying order of the communal clutch. An analysis of 22 females for which I had two consecutive years of data revealed that females are no more likely to retain the same position in the laying order (10/22, 45%) than to switch positions (12/22, 55%; one-tailed binomial test, $p > 0.1$). Nor did a female’s position in one year influence her position in the subsequent year: first-laying (A) females were equally as likely to change positions as were later-laying (B and C) females (Fisher’s exact test, $p > 0.1$). Laying order also changed across...
nesting attempts within the same season: the identity of the first-laying female changed in four of the six instances in which a breeding group laid a second clutch following the depredation of the first clutch. As a result, skew among group members in the number of eggs lost to ejection was significantly lower when both years of data were included (s = 0.33) than when each year was analysed separately (s = 0.71 and 0.83; Kruskal–Wallis H = 6.4, p < 0.05).

Group membership also changed across years, although the number of individuals in each group typically remained constant (electronic supplementary material, table S1). Adult females were significantly more likely to die or disperse than were adult males: only 58 per cent of colour-banded females remained in the same breeding group for at least two consecutive years, whereas 87 per cent of colour-banded males did (n = 19 females and 23 males; χ² = 4.5, p = 0.03). Mated pairs occasionally dispersed together and joined a different breeding group the subsequent year (n = 4 of 22 colour-banded pairs), but the majority of replacements involved just one member of a mated pair (n = 15). Average yearly turnover, calculated as the per cent of group members that die or leave before the subsequent breeding season, was estimated to be between 21 and 40 per cent (electronic supplementary material, table S1; lower and upper limits calculated from 11 breeding groups in which at least 50% of group members were colour banded for multiple years).

4. DISCUSSION

(a) Costs and benefits of communal nesting

Field studies of cooperatively breeding vertebrates have largely focused on species that breed in kin groups, in which it is difficult to determine the relative importance of direct and indirect fitness benefits to individual group members. In this study, I found that greater anis typically nest in groups composed of unrelated, socially monogamous pairs. Levels of genetic relatedness within breeding groups were not significantly higher than background levels of relatedness in the study population, indicating that group members do not derive indirect fitness benefits by raising the offspring of relatives.

Instead, these results suggest that social nesting is favoured by direct fitness benefits, and that stable group size is constrained by a trade-off between predation avoidance and reproductive competition among group members. Nests attended by three pairs were significantly less likely to be depredated than were nests attended by two pairs, and individual reproductive success (measured as the number of fledglings produced per female) was correspondingly higher in three-pair groups. Not only were three-pair groups more likely to nest in isolated sites that were less vulnerable to terrestrial predators but three-pair groups were more likely to be successful even in riskier shoreline sites. Egg loss, a consequence of reproductive competition, was greater in three-pair groups than in two-pair groups, and disproportionately affected early-laying females. Early-laying females compensated for egg losses by laying larger clutches, and reproductive skew among females was low in both two-pair and three-pair groups.

(b) Nest-site limitation and cooperative nest defence

Many long-term studies of cooperatively breeding birds have documented the importance of nest-site availability in determining the reproductive decisions of individuals [31–33]. The data presented in this paper indicate that larger groups were more likely to nest in isolated, emergent sites that were less vulnerable to terrestrial predators. This pattern implies that, as in many other species of cooperative breeders, high-quality nest sites are scarce and larger groups are better able to acquire and defend them against neighbouring groups. Neither assumption has been tested in this population, although it is possible that neighbouring groups compete with one another for nest sites. Future work is needed to determine whether high-quality nest sites actually are limited in the study area, and whether the size of the displaying group predicts its ability to displace a neighbouring group.

This study also found that larger groups experience lower rates of nest predation than smaller groups even when nest-site quality is controlled for, suggesting that communal nest defence may be another important benefit of cooperation. Even in habitats where safe nest sites are not limited, it is possible that the benefits of cooperative nest defence alone may be sufficient to outweigh the costs of communal nesting, at least for some group members. Previous work has shown that all group members routinely mob predators at the nest, particularly after the eggs have hatched [19]. Data from motion-activated nest cameras show that mobbing can be effective at driving off predators that approach the nest—including snakes, which appear to account for the majority of predation events ([19]; C. Riehl 2008–2009, unpublished data). These results indicate that the relative pay-offs of belonging to a two-pair or three-pair group—or of nesting alone—are likely to depend on ecological factors, particularly on the risk of predation and availability of safe nest sites. Neither variable is amenable to experimental manipulation, but further insights may come from comparative studies of populations across the greater ani’s range, or from longer term studies of this population. In the groove-billed ani (Crotaphaga sulcirostris), for example, a related species with a similar breeding system, single pairs appear to be more common in the northern portion of its breeding range (s. Texas and n. Mexico) than in Central America [34]. Future comparative studies should attempt to document the occurrence and causes of similar variation across greater ani populations.

(c) Individual variation and position in the laying order

The number of eggs lost by each female was strongly influenced by her position in the laying order of the communal clutch, with early-laying females losing significantly more eggs than late-laying females. Although early-laying females fledged equivalent numbers of nestlings by laying more eggs, egg losses still impose a physiological cost. Greater ani eggs are unusually large relative to female body mass (approx. 18%; [19]), and early-laying females must lay the equivalent of less than or equal to 70 per cent of their body mass in a matter of days [17]. What factors, then, determine a female’s
position in the laying order of her group? In the groove-billed ani, Vehrencamp [35] found that female-laying order was correlated with behavioural dominance of the social mate, suggesting that a female's position in the laying order is determined by her mate's position in the dominance hierarchy of the group. Similarly, in meerkats (Suricata suricatta) and dwarf mongooses (Helogale parvula), communally breeding mammals in which several females give birth synchronously, dominant breeders commonly suppress the reproduction of younger subordinates through infanticide and eviction from the group [36,37].

However, no behavioural dominance hierarchy is apparent in greater anis. All group members participate in the establishment of the nest site and the construction of the nest, and eviction is exceedingly rare [19]. Furthermore, this study shows that a female's position in the laying order can change across subsequent nesting attempts within the same breeding season, as well as across years, suggesting that female 'roles' within the group are flexible rather than fixed by age or dominance status. In the guira cuckoo (Guira guira), another communally nesting crotophagine cuckoo, Macedo et al. [38] also found that females within the group switched position in the laying order across repeated nesting bouts. These studies indicate that the true costs of egg loss—and, by extension, the individual-level costs and benefits of communal nesting—cannot be accurately measured within a single breeding season. More information is needed on the behavioural mechanisms by which group members synchronize copulation and egg-laying, as well as the proximate physiological cues that trigger the onset of egg formation. Adult greater anis exhibit an extraordinary range of variation in both adult body mass and egg size [17], and it seems likely that this extreme physiological variation may influence the order of egg-laying within communal groups.

This work was approved by the Institutional Animal Care and Use Committees of the Smithsonian Tropical Research Institute and Princeton University. Export permits were granted by Panama's Autoridad Nacional del Ambiente (ANAM).

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